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PATENT

DT01 Rec'd PCT/PTC 8007-1081
27 DEC 2004

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of

Kazufumi TSUBAKI et al.

Conf.

Application No. NEW NATIONAL PHASE

Group

Filed December 27, 2004

Examiner

β -GLUCAN-CONTAINING FAT AND OIL COMPOSITION AND NOVEL MICROORGANISM
CAPABLE OF PRODUCING β -GLUCAN

INFORMATION DISCLOSURE STATEMENT
(SUBMISSION CONCURRENT WITH THE
FILING OF A NEW PATENT APPLICATION)

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

December 27, 2004

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, and in fulfillment of the duty of disclosure under 37 C.F.R. § 1.56, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- ☐ Copies of the U.S. patents or publications are not submitted since the USPTO has waived their submission for applications filed after June 30, 2003.
- ☒ Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- ☐ This application is a National Phase of a PCT application. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should have been forwarded from the International Search Authority pursuant to the trilateral agreement between the USPTO, EPO and JPO, or they are U.S. patents or U.S. published applications. If copies are needed, please contact the undersigned.

III. CONCISE EXPLANATION OF THE RELEVANCE
 (check at least one box)

10/519379
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a. ☐ **DOCUMENTS IN THE ENGLISH LANGUAGE**

The attached non U.S. patents, non U.S. patent application publications, foreign publications, or other information in the English language do not require a statement of relevancy.

b. ☐ **DOCUMENTS NOT IN THE ENGLISH LANGUAGE**

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

c. ☒ **FOREIGN SEARCH REPORT OR ACTION**

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

d. ☐ **OTHER**

The following additional information is provided for the Examiner's consideration.

FEEs

This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If The Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned.

Respectfully submitted,

YOUNG & THOMPSON

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BC/lmt

Enclosures: ☒ Form PTO-1449(s)
☒ Documents
☒ Foreign Search Report
☐ Other: _____

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Attorney Docket No.:
8007-1081

Application No.:
10/519379
NEW NATIONAL PH

Applicant:
Kazufumi TSUBAKI et al.

Filing Date:
December 27, 2004

Group Art Unit:

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing date (if appropriate)

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	6-340701 ✓	12/13/1994	JAPAN				
	7-51080 ✓	2/28/1995	JAPAN				
	3-229702 ✓	10/11/1991	JAPAN				
	0 236 124 ✓	9/9/1987	EUROPE				
	0 222 302 ✓	5/20/1987	EUROPE				
	2001-245657 ✓	9/11/2001	JAPAN				
	2001-247566 ✓	9/11/2001	JAPAN				
	0 859 061 ✓	8/19/1998	EUROPE				
	2002-241784 ✓	8/28/2002	JAPAN				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	I. Navarini et al., Structural characterization and solution properties of an acidic branched (1 → 3) -β-D-glucan from Aureobasidium pullulans", International Journal of Biological Macromolecules October 1997, Vol. 19, No. 3, pages 157 to 163

EXAMINER:

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

* English language abstract provided for the Examiner's convenience

10/15/19379

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)	Attorney Docket No.: 8007-1081	Application No.: NEW NATIONAL PH
	Applicant: Kazufumi TSUBAKI et al.	
	Filing Date: December 27, 2004	Group Art Unit:

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing date (if appropriate)

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	2002-241784	8/28/2002	JAPAN				
	2002-306064 /	10/22/2002	JAPAN				
	2003-159011 /	6/3/2003	JAPAN				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:	DATE CONSIDERED
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